

# 2018 Options Industry Conference

## **Tuesday, May 1, 2018**

2:00 PM – 6:00 PM      **Registration with live entertainment and refreshments**

## **Wednesday, May 2, 2018**

7:00 AM – 6:00 PM      **Registration**

8:00 AM – 12:30 PM      **Optional Events**  
**Golf Outing**  
**Backwater Fishing**

10:00 AM – 11:30 AM      **OIC Round Table Committee Meeting**

12:30 PM – 1:45 PM      **STA Options Committee Meeting**

1:45 AM – 3:00 PM      **SIFMA Options Committee Meeting**

3:00 PM – 3:15 PM      **Opening Remarks**

Greg Ferrari, Nasdaq

3:15 PM – 4:00 PM      **Industry Updates**

Patty Schuler, BOX  
Eric Frait, Cboe  
Joe Bracco, MIAX  
Sean Feeney, Nasdaq  
Mark Krommenhoek, NYSE  
Ellen Greene, SIFMA  
Jim Toes, STA  
Amy Lawson, OCC  
Frank Tirado, OIC

4:00 PM – 4:30 PM      **Sullivan Award Presentation**

*Join us as we present the Sullivan Award to Gary Katz, former President & Chief Executive Officer of the International Securities Exchange (ISE).*

Gary Katz  
Presented by Thomas Wittman, Nasdaq

- 4:30 PM – 5:00 PM      **State of the Industry**
- Henry Schwartz from Trade Alert presents a detailed look at trends and current hot issues in today's options market.*
- Henry Schwartz, Trade Alert
- 5:00 PM – 6:30 PM      **Exhibit Hall Grand Opening Reception**
- 6:30 PM – 9:30 PM      **Oceanside Dinner at the Omni Plantation Beach**

## **Thursday, May 3, 2018**

- 7:00 AM – 12:30 PM      **Registration**
- 7:00 AM – 8:00 AM      **Breakfast in Exhibit Hall**
- 8:00 AM – 12:00 PM      **Optional Spouse Activity – Amelia Island Market Cooking Class**
- 8:00 AM – 8:15 AM      **Welcome**
- Kevin Kennedy, Nasdaq
- 8:15 AM – 9:00 AM      **Current and Future State of Volatility**
- Elinor Comlay from EQ Derivatives conducts an engaging discussion on volatility and the impact on trading participants in the options market*
- Moderator: Elinor Comlay, EQ Derivatives**
- Rob Hocking, DRW  
Euan Sinclair, Factorwave  
\*Additional panelist
- 9:00 AM – 9:45 AM      **A View from the Top**
- Craig Donohue, Executive Chairman and CEO of OCC, conducts a fireside chat with Adena Friedman, President and CEO of Nasdaq, on the key themes impacting the global capital markets*
- Adena T. Friedman, Nasdaq  
Craig Donohue, OCC

9:45 AM – 10:30 AM **Options Liquidity in the face of Changing Regulation**

*Key Industry participants discuss the evolution of market regulation and its impact on listed option liquidity across multiple market segments*

**Moderator: John Fennell, OCC**

Steve Crutchfield, CTC  
Mauricio Izurieta, BAML  
\*Additional Panelist

10:30 AM – 11:00 AM Break

11:00 AM – 11:45 PM **Industry Leaders Panel**

*Exchange heads alongside OCC leadership will touch on an array of current topics affecting the options industry*

**Moderator: J.J. Kinahan, TD Ameritrade**

Ed Boyle, BOX  
Andy Lowenthal, Cboe  
Shelly Brown, MIAX  
Kevin Kennedy, Nasdaq  
Ivan Brown, NYSE  
Scot Warren, OCC

11:45 AM – 12:30 PM **Keynote Speaker – Stephen Dubner**

*Stephen J. Dubner is an award-winning author, journalist, and radio and TV personality. He is best-known as co-author of the New York Times bestselling Freakonomics series. They have sold more than 7 million copies in more than 40 countries. Dubner is also the host of the Freakonomics Radio podcast, which gets 8 million downloads a month, and he maintains the popular Freakonomics blog, which has been called “the most readable economics blog in the universe.”*

1:00 PM – 4:00 PM **Cabanas on the Beach**

7:00 PM – 10:00 PM **Dinner at Marshview Terrace**

## Friday, May 4, 2018

7:00 AM – 12:30 PM

### **Registration**

7:00 AM – 8:00 AM

### **Breakfast in Exhibit Hall**

8:00 AM – 8:30 AM

### **Washington Outlook**

*Exchange and clearinghouse Washington representatives discuss policy and politics in 2018*

#### **Moderator: Julie Bauer, OCC**

Terry Campbell, Nasdaq  
Jeff Strunk, Forbes-Tate  
Lita Frazier, Cboe  
Hope Jarkowski, ICE/NYSE

8:30 AM – 9:00 AM

### **Coffee with Cott**

*Experienced Financial Advisors share how they have integrated option in to their practice to differentiate themselves from their competition and grow their client base and where it might be headed*

#### **Moderator: Eric Cott, OCC**

Michael, Galantino, Boenning & Scattergood  
Brandon Setlock, Charles Schwab & Co  
Paul Feinstein, 1<sup>st</sup> Republic

9:00 AM – 9:45 AM

### **Demystifying ETF Options Trends**

*Find out from this expert panel composed of ETF issuers and options market makers on what is driving the trends in client ETF options usage and the implications for the ETF and options industries and their respective participants.*

#### **Moderator: Steve Oh, Nasdaq ETF's**

David LaValle, State Street  
Grayson Lipton, Powershares  
Lawrence Tanzman, Citadel  
Stacey Gilbert, Susquehanna International Group

- 9:45 AM – 10:15 AM Break
- 10:15 AM – 10:45 AM **Innovative Technologies within the Options Industry**  
*Jill Malandrino, Nasdaq Global Markets Reporter moderates a discussion on key innovations and trend in technology and their impacts on the options industry*
- Moderator: Jill Malandrino**
- Tony Zhang, Options Play  
Peter Maragos, DASH Financial  
Travis McGhee, Tradestation
- 10:45 AM – 11:30AM **Options and the Institutional Participant**
- Richard Johnson, from Greenwich Associates presents findings from their Institutional participant research study followed by a conversation with Industry panelists*
- Moderator: Richard Johnson, Greenwich Associates**
- Eric Metz, SpiderRock Advisors  
Arianne Criqui, Goldman Sachs  
Carlos Chuioy, Employees Retirement System of Texas
- 11:30 AM – 12:00 PM **Perspective from Capitol Hill**
- OCC President and Chief Operating Officer John Davidson talks with Congressman Randy Hultgren (R-IL) about the outlook for action in Washington, D.C. in 2018, and the most significant financial markets issues facing policymakers.*
- Congressman Randy Hultgren (R-IL)  
John Davidson, OCC
- 12:00 PM – 12:15 PM **Passing of the Hammer & Closing**
- 1:00 PM – 4:00 PM **Optional Activities**  
**Marsh Kayaking**  
**Eclipse Pedal Boarding**  
**Voluntourism Event**
- 7:00 PM – 10:00 PM **Closing Dinner at the Sprouting Project**